

Bond Performance Audit Tracker  
 Status of Performance Audit Recommendations  
 March 2022 - BAC Report

<b>2021 Bond Performance Audit Recommendations</b>				
Bond Year	#	Abbreviated Recommendation	Response	Status
2017	1	The PPS Board should revisit its Equity in Public Purchasing and Contracting ("EPPC") Policy to clarify and define the District's vision, goals, and commitment to business equity. This should include reassessing the overall intent, determining how equity is characterized, and expanding on what attainment of business equity goals for the District should entail.	Concur with Comment	Working
2017	2	Further develop the Board's overarching vision into more succinct measurable subgoals or objectives, support new goal setting defensible data, and define qualitative and quantitative metrics to measure against new goals.	Concur	Working
2017	3	Conduct a cost-benefit analysis of available business equity strategies, such as those outlined in this report, and include considerations such as long-term versus short-term strategies, direct versus intangible "investment" type strategies, and unintended consequences.	Concur	Working
2017	4	Develop tools and protocols to capture outcomes of chosen equity strategies, and validate outcome data accuracy.	Concur with Comment	Working
2017	5	Create protocols to expand on existing business equity annual reporting to include an assessment of whether annual equity outcomes realized meet the intent of the EPPC Policy and goals of the Administrative Directive, or if modification to the portfolio of equity strategies is warranted, and based on results, adjust strategies as necessary.	Concur	Working
2017	6	Develop and formalize operating procedures to define the roles, responsibilities and expectations of PPS staff specific to managing contractor and consultant business equity compliance, reporting on outcomes, and coordinating efforts to bolster PPS' presence and visibility in the certified business community.	Concur	Working
2017	7	Provide context and explanation of nuances and limitations of B2GNow data in presentations and reports to the Board and the Bond Accountability Committee.	Completed	Complete
2017	8	Develop a PPS-led training to provide new and existing contractors and consultants guidance on equity reporting requirements and expectations; and provide refreshers on training materials on an established schedule over the course of the contract duration.	Concur with Comment	Working
2017	9	Conduct a review to explore system capabilities, functions, and reports with the B2GNow vendor that could enhance PPS' management of business equity efforts.	Concur	Working
2017	10	Develop new and strengthen existing protocols for capturing institutional knowledge currently held by one OSM staff member to ensure information and processes about Bond Communication efforts is retained with PPS. Protocols could include establishing key policies and procedures, cross-training staff on communication activities and knowledge, creating a resource library, and providing data sources, timestamps, "as of" dates, and follow-up contact information, as appropriate.	Concur	Working

<u>Audit Year</u>	<u>Summary of Recommendations</u>	<u>Percent Completed</u>
2021	<b>10</b> Recommendations, <b>0</b> completed	<b>10%</b> Completed

Status Notes on Recommendations Not Completed

2021	1	This recommendation is under review by the AIT. PPS staff appreciate the opportunity for updated guidance from the PPS Board on their goals for business equity. Staff have shared this recommendation with the PPS Board, however policy updates are scheduled by the Board and are therefore subject to the Board's timeline.	
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2021	2	As an initial step, staff have begun working on new focus areas in reporting, in addition to total amount paid to Certified Businesses. These new focus areas include: number of firms and number of unique Certified Businesses utilized at prime and sub level; number of Certified Businesses that access bid documents, number that bid, and percent awarded; and percentage of total prime contract awards going to Certified Businesses.
2021	3	This recommendation is under review by the AIT. It is anticipated this will be developed as part of an annual business equity review and reporting cycle. Staff are working on developing the components, scope and schedule of this new annual review.
2021	4	This recommendation is under review by the AIT. As noted in the Draft Report, it can be difficult to find a direct correlation between "how a particular strategy employed results in increased or decreased utilization rates." Staff will look to develop tools to capture outcomes, with the understanding that not all outcomes may be able to be directly attributed to chosen equity strategies.
2021	5	This recommendation is under review by the AIT. Staff agrees that an annual review of business equity strategies, and plan of changed or new strategies for the upcoming year, would allow for a more structured framework in which to identify potential changes or new strategies, develop cost-benefit analyses, and collect and analyze outcome data. Staff are working on developing the components, scope and schedule of this new annual review.
2021	6	Staff have begun to develop a document that outlines specific staff roles with respect to the business equity program.
2021	8	Staff concur with this recommendation but have not been able to implement previously due to lack of staff resources. OSM is in the process of recruiting for a Bond Certified Business Program Manager position; this position will be tasked with creating the training once hired. While this position is being recruited and filled, staff have begun collecting all prior written training materials to assess additional needs.
2021	9	Staff have begun working with B2GNow vendor to explore new reporting focus areas, as noted in status note of recommendation 2 above.
2021	10	Existing procedures, resource materials and responsibility matrices have been collected into a resource library available to all OSM staff through a shared team drive. Staff are working on developing additional procedures to enhance existing materials.